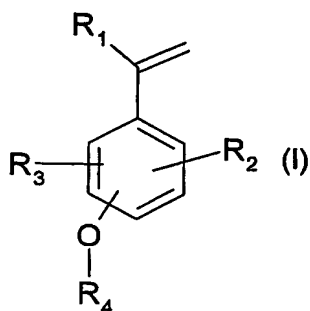


## Claims

1. A process for the preparation of a narrow molecular weight distributed hydroxy-vinyl aromatic oligomer, cooligomer, polymer or copolymer with a polydispersity  $M_w/M_n$  between 1 and 2, which process comprises the steps reacting a composition of at least one monomer of formula I



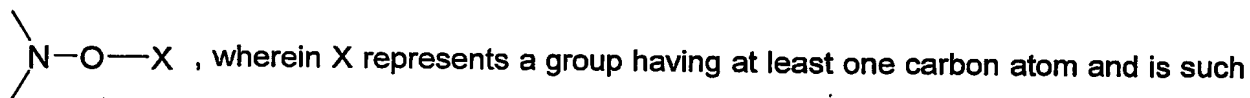
wherein

$R_1$  is H or  $CH_3$ ;

$R_2$  and  $R_3$  are independently hydrogen,  $C_1$ - $C_8$ alkyl,  $C_1$ - $C_8$ alkoxy,  $C_1$ - $C_8$ alkoxycarbonyl,  $C_1$ - $C_8$ alkylthio,  $C_1$ - $C_8$ dialkylamino, trihalogenmethyl;

$R_4$  is  $C_1$ - $C_{12}$ alkyl or benzyl which is unsubstituted or substituted with one or two  $C_1$ - $C_8$ alkyl,  $C_1$ - $C_8$ alkoxy,  $C_1$ - $C_8$ alkoxycarbonyl,  $C_1$ - $C_8$ alkylthio,  $C_1$ - $C_8$ dialkylamino, trihalogenmethyl, halogen; or  $R_4$  is a group phenyl(methyl)CH-, (phenyl) $_2$ CH-,  $C_1$ - $C_{12}$ alkyl-O-C(O)-, phenyl-CH $_2$ -O-C(O)- or (phenyl) $_2$ CH-O-C(O)-;

a1) in the presence of at least one nitroxylether having the structural element



that the free radical  $X\bullet$  derived from X is capable of initiating polymerization of ethylenically unsaturated monomers; or

a2) in the presence of at least one stable free nitroxyl radical and a free radical initiator; or

a3) in the presence of a compound of formula (III)  $\left[ \text{In} \right]_p \left[ \text{Hal} \right]_q$  (III) and a catalytically

effective amount

of an oxidizable transition metal complex catalyst, wherein

p represents a number greater than zero and defines the number of initiator fragments;

q represents a number greater than zero;

[In] represents a radically transferable atom or group capable of initiating polymerization and

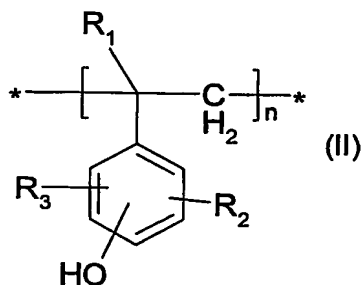
-[Hal] represents a leaving group; or

a4) in an anionic polymerization reaction in the presence of a metal or organo metal catalyst;

and optionally simultaneously or in a subsequent step with one or more ethylenically unsaturated monomers different from those of formula (I);

and

b) isolating the resulting polymer and subjecting it to a reaction with a halosilane giving a polymer with repeating units of formula II



and with a degree of OH-groups of between 10 mol % and 100 mol %, based on the molar amount of protected hydroxy-vinyl aromatic monomer of formula I.

2. A process according to claim 1 wherein halosilane is iodosilane.

3. A process according to claim 1 wherein the polymerization is carried out according to steps a1) or a2).

4. A process according to claim 1 wherein in formula I

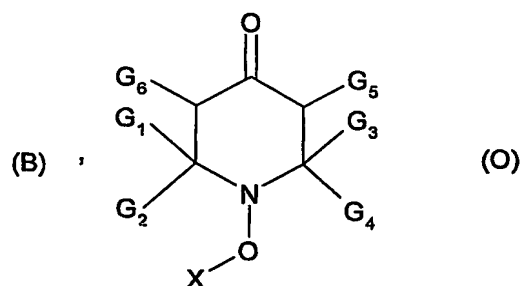
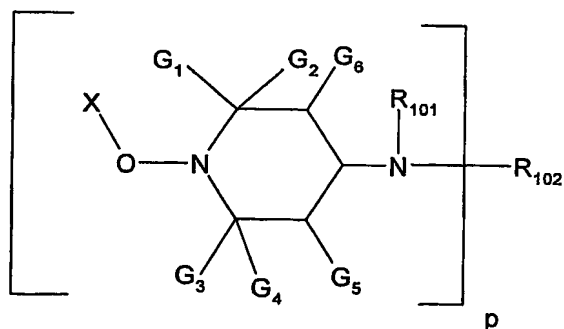
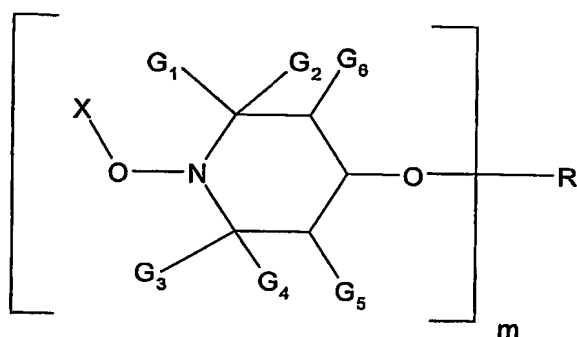
R<sub>1</sub> is H;

R<sub>2</sub> and R<sub>3</sub> are H;

OR<sub>4</sub> is in the 4-position and

R<sub>4</sub> is C<sub>1</sub>-C<sub>4</sub>alkyl, benzyl, C<sub>1</sub>-C<sub>4</sub>alkoxycarbonyl or benzyloxycarbonyl.

5. A process according to claim 1, wherein the nitroxylether in step a1) is of formula A, B or O,



wherein

m is 1,

R is hydrogen, C<sub>1</sub>-C<sub>18</sub>alkyl which is uninterrupted or interrupted by one or more oxygen atoms, cyanoethyl, benzoyl, glycidyl, a monovalent radical of an aliphatic carboxylic acid having 2 to 18 carbon atoms, of a cycloaliphatic carboxylic acid having 7 to 15 carbon atoms, or an  $\alpha,\beta$ -unsaturated carboxylic acid having 3 to 5 carbon atoms or of an aromatic carboxylic acid having 7 to 15 carbon atoms;

p is 1;

R<sub>101</sub> is C<sub>1</sub>-C<sub>12</sub>alkyl, C<sub>5</sub>-C<sub>7</sub>cycloalkyl, C<sub>7</sub>-C<sub>8</sub>aralkyl, C<sub>2</sub>-C<sub>18</sub>alkanoyl, C<sub>3</sub>-C<sub>5</sub>alkenoyl or benzoyl;

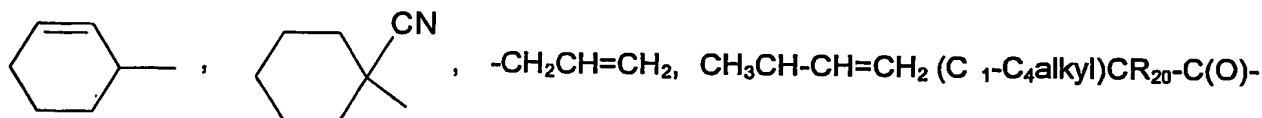
$R_{102}$  is  $C_1$ - $C_{18}$ alkyl,  $C_5$ - $C_7$ cycloalkyl,  $C_2$ - $C_8$ alkenyl unsubstituted or substituted by a cyano, carbonyl or carbamide group, or is glycidyl, a group of the formula  $-\text{CH}_2\text{CH}(\text{OH})-\text{Z}$  or of the formula  $-\text{CO}-\text{Z}$  or  $-\text{CONH}-\text{Z}$  wherein  $\text{Z}$  is hydrogen, methyl or phenyl;

$G_6$  is hydrogen and  $G_5$  is hydrogen or  $C_1$ - $C_4$ alkyl,

$G_1$  and  $G_3$  are methyl and  $G_2$  and  $G_4$  are ethyl or propyl or  $G_1$  and  $G_2$  are methyl and  $G_3$  and  $G_4$  are ethyl or propyl; and

$\text{X}$  is selected from the group consisting of

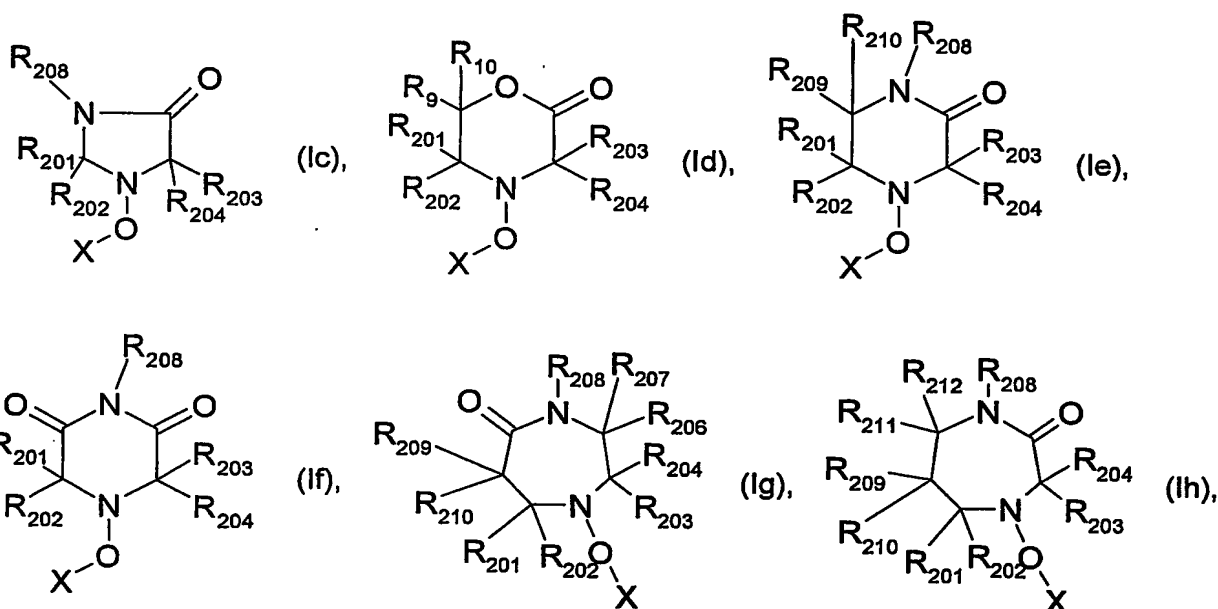
$-\text{CH}_2$ -phenyl,  $\text{CH}_3\text{CH}$ -phenyl,  $(\text{CH}_3)_2\text{C}$ -phenyl,  $(\text{C}_5\text{-C}_6\text{cycloalkyl})_2\text{CCN}$ ,  $(\text{CH}_3)_2\text{CCN}$ ,



phenyl,  $(C_1-C_4)$ alkyl- $\text{CR}_{20}-\text{C}(\text{O})-(C_1-C_4)$ alkoxy,  $(C_1-C_4)$ alkyl- $\text{CR}_{20}-\text{C}(\text{O})-(C_1-C_4)$ alkyl,  $(C_1-C_4)$ alkyl- $\text{CR}_{20}-\text{C}(\text{O})-\text{N}-\text{di}(C_1-C_4)$ alkyl,  $(C_1-C_4)$ alkyl- $\text{CR}_{20}-\text{C}(\text{O})-\text{NH}(C_1-C_4)$ alkyl,  $(C_1-C_4)$ alkyl- $\text{CR}_{20}-\text{C}(\text{O})-\text{NH}_2$ , wherein

$R_{20}$  is hydrogen or  $(C_1-C_4)$ alkyl.

6. A process according to claim 1, wherein the nitroxylether of step a1) is of formula (lc), (ld), (le), (lf), (lg) or (lh)



wherein  $R_{201}$ ,  $R_{202}$ ,  $R_{203}$  and  $R_{204}$  independently of each other are  $C_1$ - $C_{18}$ alkyl,  $C_3$ - $C_{18}$ alkenyl,  $C_3$ - $C_{18}$ alkinyl,  $C_1$ - $C_{18}$ alkyl,  $C_3$ - $C_{18}$ alkenyl,  $C_3$ - $C_{18}$ alkinyl which are substituted by  $\text{OH}$ , halogen or a group  $-\text{O}-\text{C}(\text{O})-\text{R}_{205}$ ,  $C_2$ - $C_{18}$ alkyl which is interrupted by at least one  $\text{O}$  atom and/or  $\text{NR}_{205}$

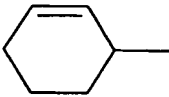
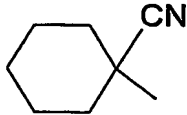
group, C<sub>3</sub>-C<sub>12</sub>cycloalkyl or C<sub>6</sub>-C<sub>10</sub>aryl or R<sub>201</sub> and R<sub>202</sub> and/or R<sub>203</sub> and R<sub>204</sub> together with the linking carbon atom form a C<sub>3</sub>-C<sub>12</sub>cycloalkyl radical;

R<sub>205</sub>, R<sub>206</sub> and R<sub>207</sub> independently are hydrogen, C<sub>1</sub>-C<sub>18</sub>alkyl or C<sub>6</sub>-C<sub>10</sub>aryl;

R<sub>208</sub> is hydrogen, OH, C<sub>1</sub>-C<sub>18</sub>alkyl, C<sub>3</sub>-C<sub>18</sub>alkenyl, C<sub>3</sub>-C<sub>18</sub>alkinyl, C<sub>1</sub>-C<sub>18</sub>alkyl, C<sub>3</sub>-C<sub>18</sub>alkenyl, C<sub>3</sub>-C<sub>18</sub>alkinyl which are substituted by one or more OH, halogen or a group -O-C(O)-R<sub>205</sub>, C<sub>2</sub>-C<sub>18</sub>alkyl which is interrupted by at least one O atom and/or NR<sub>205</sub> group, C<sub>3</sub>-C<sub>12</sub>cycloalkyl or C<sub>6</sub>-C<sub>10</sub>aryl, C<sub>7</sub>-C<sub>9</sub>phenylalkyl, C<sub>5</sub>-C<sub>10</sub>heteroaryl, -C(O)-C<sub>1</sub>-C<sub>18</sub>alkyl, -O-C<sub>1</sub>-C<sub>18</sub>alkyl or -COOC<sub>1</sub>-C<sub>18</sub>alkyl;

R<sub>209</sub>, R<sub>210</sub>, R<sub>211</sub> and R<sub>212</sub> are independently hydrogen, phenyl or C<sub>1</sub>-C<sub>18</sub>alkyl; and

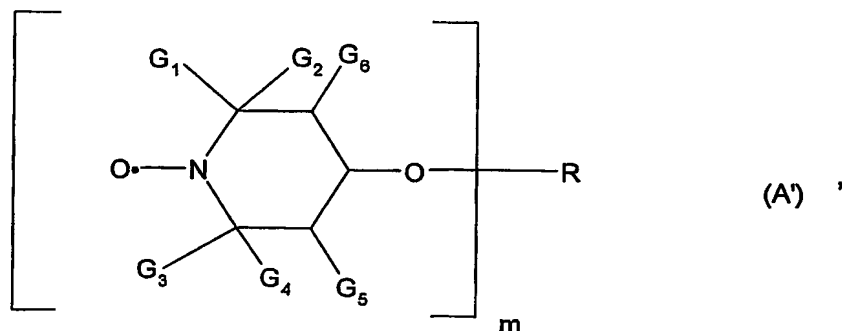
X is selected from the group consisting of -CH<sub>2</sub>-phenyl, CH<sub>3</sub>CH-phenyl, (CH<sub>3</sub>)<sub>2</sub>C-phenyl, (C<sub>5</sub>-

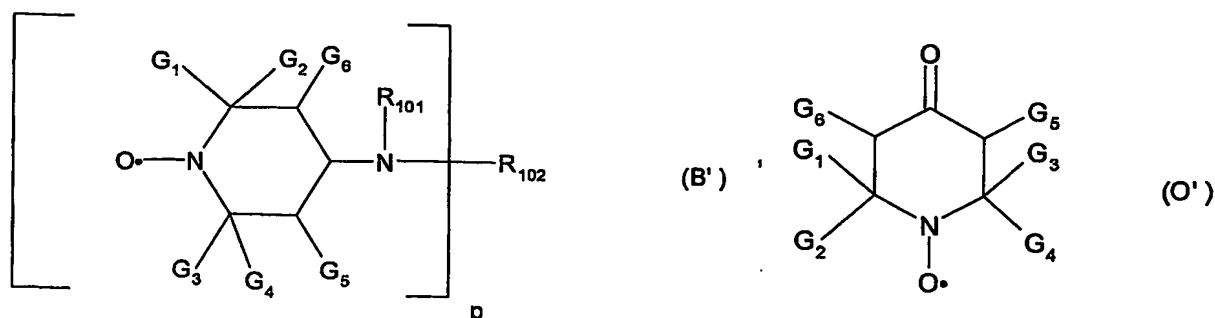
C<sub>6</sub>cycloalkyl)<sub>2</sub>CCN, (CH<sub>3</sub>)<sub>2</sub>CCN,  ,  , -CH<sub>2</sub>CH=CH<sub>2</sub>, CH<sub>3</sub>CH-

CH=CH<sub>2</sub> (C<sub>1</sub>-C<sub>4</sub>alkyl)CR<sub>20</sub>-C(O)-phenyl, (C<sub>1</sub>-C<sub>4</sub>)alkyl-CR<sub>20</sub>-C(O)-(C<sub>1</sub>-C<sub>4</sub>)alkoxy, (C<sub>1</sub>-C<sub>4</sub>)alkyl-CR<sub>20</sub>-C(O)-(C<sub>1</sub>-C<sub>4</sub>)alkyl, (C<sub>1</sub>-C<sub>4</sub>)alkyl-CR<sub>20</sub>-C(O)-N-di(C<sub>1</sub>-C<sub>4</sub>)alkyl, (C<sub>1</sub>-C<sub>4</sub>)alkyl-CR<sub>20</sub>-C(O)-NH(C<sub>1</sub>-C<sub>4</sub>)alkyl, (C<sub>1</sub>-C<sub>4</sub>)alkyl-CR<sub>20</sub>-C(O)-NH<sub>2</sub>, wherein

R<sub>20</sub> is hydrogen or (C<sub>1</sub>-C<sub>4</sub>)alkyl.

7. A process according to claim 1, wherein the nitroxyl radical of step a2) is of formula A', B' or O',





wherein

m is 1,

R is hydrogen, C<sub>1</sub>-C<sub>18</sub>alkyl which is uninterrupted or interrupted by one or more oxygen atoms, cyanoethyl, benzoyl, glycidyl, a monovalent radical of an aliphatic carboxylic acid having 2 to 18 carbon atoms, of a cycloaliphatic carboxylic acid having 7 to 15 carbon atoms, or an  $\alpha,\beta$ -unsaturated carboxylic acid having 3 to 5 carbon atoms or of an aromatic carboxylic acid having 7 to 15 carbon atoms;

p is 1;

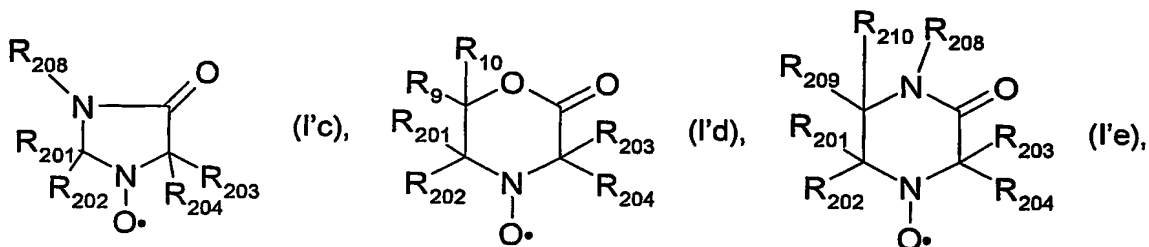
R<sub>101</sub> is C<sub>1</sub>-C<sub>12</sub>alkyl, C<sub>5</sub>-C<sub>7</sub>cycloalkyl, C<sub>7</sub>-C<sub>8</sub>aralkyl, C<sub>2</sub>-C<sub>18</sub>alkanoyl, C<sub>3</sub>-C<sub>5</sub>alkenoyl or benzoyl;

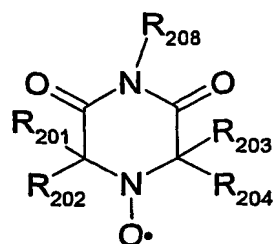
R<sub>102</sub> is C<sub>1</sub>-C<sub>18</sub>alkyl, C<sub>5</sub>-C<sub>7</sub>cycloalkyl, C<sub>2</sub>-C<sub>8</sub>alkenyl unsubstituted or substituted by a cyano, carbonyl or carbamide group, or is glycidyl, a group of the formula -CH<sub>2</sub>CH(OH)-Z or of the formula -CO-Z or -CONH-Z wherein Z is hydrogen, methyl or phenyl;

G<sub>6</sub> is hydrogen and G<sub>5</sub> is hydrogen or C<sub>1</sub>-C<sub>4</sub>alkyl, and

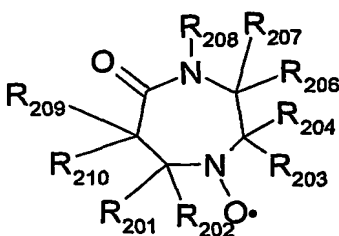
G<sub>1</sub> and G<sub>3</sub> are methyl and G<sub>2</sub> and G<sub>4</sub> are ethyl or propyl or G<sub>1</sub> and G<sub>2</sub> are methyl and G<sub>3</sub> and G<sub>4</sub> are ethyl or propyl.

8. A process according to claim 1, wherein the nitroxyl radical of step a2) is of formula (Ic'), (Id'), (Ie'), (If'), (Ig') or (Ih')

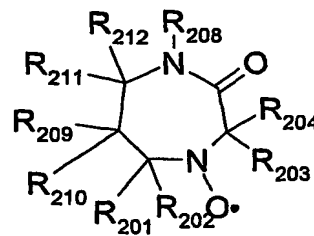




(I'f),



(I'g),



(I'h),

wherein  $R_{201}$ ,  $R_{202}$ ,  $R_{203}$  and  $R_{204}$  independently of each other are  $C_1$ - $C_{18}$ alkyl,  $C_3$ - $C_{18}$ alkenyl,  $C_3$ - $C_{18}$ alkynyl,  $C_1$ - $C_{18}$ alkyl,  $C_3$ - $C_{18}$ alkenyl,  $C_3$ - $C_{18}$ alkynyl which are substituted by OH, halogen or a group  $-O-C(O)-R_{205}$ ,  $C_2$ - $C_{18}$ alkyl which is interrupted by at least one O atom and/or  $NR_{205}$  group,  $C_3$ - $C_{12}$ cycloalkyl or  $C_6$ - $C_{10}$ aryl or  $R_{201}$  and  $R_{202}$  and/or  $R_{203}$  and  $R_{204}$  together with the linking carbon atom form a  $C_3$ - $C_{12}$ cycloalkyl radical;

$R_{205}$ ,  $R_{206}$  and  $R_{207}$  independently are hydrogen,  $C_1$ - $C_{18}$ alkyl or  $C_6$ - $C_{10}$ aryl;

$R_{208}$  is hydrogen, OH,  $C_1$ - $C_{18}$ alkyl,  $C_3$ - $C_{18}$ alkenyl,  $C_3$ - $C_{18}$ alkynyl,  $C_1$ - $C_{18}$ alkyl,  $C_3$ - $C_{18}$ alkenyl,  $C_3$ - $C_{18}$ alkynyl which are substituted by one or more OH, halogen or a group  $-O-C(O)-R_{205}$ ,  $C_2$ - $C_{18}$ alkyl which is interrupted by at least one O atom and/or  $NR_{205}$  group,  $C_3$ - $C_{12}$ cycloalkyl or  $C_6$ - $C_{10}$ aryl,  $C_7$ - $C_9$ phenylalkyl,  $C_5$ - $C_{10}$ heteroaryl,  $-C(O)-C_1$ - $C_{18}$ alkyl,  $-O-C_1$ - $C_{18}$ alkyl or  $-COOC_1$ - $C_{18}$ alkyl; and

$R_{209}$ ,  $R_{210}$ ,  $R_{211}$  and  $R_{212}$  are independently hydrogen, phenyl or  $C_1$ - $C_{18}$ alkyl.

#### 9. A process according to claim 1, wherein in step a3)

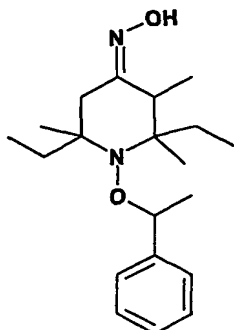
[In] represents the polymerization initiator fragment of a polymerization initiator of formula (III) capable of initiating polymerization of monomers or oligomers which polymerization initiator is selected from the group consisting of  $C_1$ - $C_8$ -alkyl halides,  $C_6$ - $C_{15}$ -aralkylhalides,  $C_2$ - $C_8$ -haloalkyl esters, arene sulfonyl chlorides, haloalkanenitriles,  $\alpha$ -haloacrylates and halolactones,

p and q represent one and the other components are as defined in claim 1.

#### 10. A process according to claim 1, wherein in step a3) the oxidizable transition metal in the transition metal complex salt is present as a transition metal complex ion in the lower oxidation state of a redox system.

#### 11. A process according to claim 10, wherein the transition metal complex ion is a Cu(I) complex ion in the Cu(I)/Cu(II) system.

12. A process according to claim 1 wherein the nitroxyl ether of formula



is used in the polymerization step a1).

13. A process according to claim 1 wherein the optionally used additional ethylenically unsaturated monomer is selected from the group consisting of an acrylic acid ester, acrylamide, acrylonitrile, methacrylic acid ester, methacrylamide, methacrylonitrile and styrene.

14. A process according to claim 1 wherein the polymerization temperature in the steps a1), a2) or a3) is between 90° C and 150° C.

15. A process according to claim 1 wherein the hydroxy-vinyl aromatic oligomer, cooligomer, polymer or copolymer has a weight molecular weight average from 2000 to 30 000 Daltons.

16. A process according to claim 1 wherein the iodosilane reagent of step b) is  $R_{13}R_{14}R_{15}SiI$ , wherein  $R_{13}$ ,  $R_{14}$  and  $R_{15}$  are independently  $C_1$ - $C_8$ alkyl, chloromethyl, vinyl or phenyl.

17. A process according to claim 1 wherein the reaction with a halosilane reagent is carried out using a chlorosilane reagent from  $R_{13}R_{14}R_{15}SiCl$  wherein  $R_{13}$ ,  $R_{14}$  and  $R_{15}$  are independently  $C_1$ - $C_8$ alkyl, chloromethyl, vinyl or phenyl in the presence of a halide salt and/or thiol, wherein the halide salt is selected from the group consisting of alkaline metal halide, alkaline-earth metal halide, ammonium halide or phosphonium halide.

18. A formulated photoresist prepared from a polymer obtainable by a process according to claim 1.